



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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TECH CENTER 1600/2900

Attorney Docket No.: RU-0175

Inventors: Eric Lam

Serial No.: 10/009,054

Filing Date: December 6, 2001

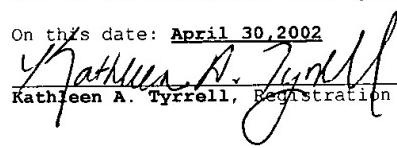
Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Title: Compositions and Methods for Targeted
Gene Insertion

I, Kathleen A. Tyrrell, Registration No. 38,350, certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to the Assistant Commissioner for Patents and Trademarks, Washington, D.C. 20231.

On this date: April 30, 2002



Kathleen A. Tyrrell, Registration No. 38,350

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

(XX) In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into

the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, no additional fee is required.

- () In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, therefore:
- () Certification in Accordance with §1.97(e) is set forth below; or
- () The fee of \$180.00 as set forth in §1.17(p) is attached.
- () In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee, therefore included are: Certification in Accordance with §1.97(e); Petition Requesting Consideration of the Information Disclosure Statement; and the fee of \$130.00 as set forth in §1.17(i)(1).
- (XX)** Copies of each of the references listed on the attached Form PTO-1449 (modified) are enclosed herewith.
- () In accordance with §1.98(d), copies of some or all of the references listed on the attached Form PTO-1449 (modified) are not enclosed herewith because they were previously

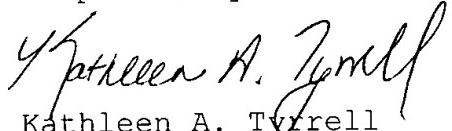
submitted to the U.S. Patent and Trademark Office in prior application Serial No. _____, filed _____, for which a claim for priority under 35 U.S.C. §120 has been made in the instant application.

Please charge any deficiency or credit any overpayment to Deposit Account No. 50-1619. This form is submitted in duplicate.

() The relevance of the listed references in a foreign language is as stated in the specification at pages @@.

(XX) All listed references are in the English language.

Respectfully submitted,

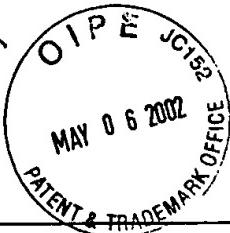


Kathleen A. Tyrrell
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Date: April 30, 2002

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SERIAL 1600/2900
10/009,054

Form PTO-1449 Modified		Docket No. RU-0175	Serial No. 1600/2900 10/009,054
List of Patents and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Eric Lam	
U.S. Department of Commerce		Filing Date December 6, 2001	Group Not Yet Assigned

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AA	Enoki et al., "Ac as a tool for the functional genomics of rice", <i>The Plant Journal</i> 1999 19(5):605-613
AB	Miao and Lam, "Targeted disruption of the TGA3 locus in <i>Arabidopsis thaliana</i> ", <i>The Plant Journal</i> 1995 7(2):359-365
AC	Smith et al., "Characterization and mapping of Ds-GUS-T-DNA lines for targeted insertional mutagenesis", <i>The Plant Journal</i> 1996 10(4):721-732
AD	Sundaresan et al., "Patterns of gene action in plant development revealed by enhancer trap and gene trap transposable elements", <i>Genes & Development</i> 1995 9:1797-1810

EXAMINER	DATE CONSIDERED
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~~Sheet 02 of 02~~
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Serial No.

Form PTO-1449 Modified	Docket No. RU-0175	FACSIMILE CENTER 1600/2900 Serial No. 10/009,054
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Applicant Eric Lam		
U.S. Department of Commerce Patent and Trademark Office		Filing Date December 6, 2001
		Group Not Yet Assigned

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation YES	NO
	AA	WO 92/01370	6-2-02	PCT	X	

EXAMINER

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